Impaired ERAD and ER stress are early and specific events in polyglutamine toxicity

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Protein misfolding, whether caused by aging, environmental factors, or genetic mutations, is a common basis for neurodegenerative diseases. The misfolding of proteins with abnormally long polyglutamine (polyQ) expansions causes several neurodegenerative disorders, such as Huntington’s disease (HD). Although many cellular pathways have been documented to be impaired in HD, the primary triggers of polyQ toxicity remain elusive. We report that yeast cells and neuron-like PC12 cells expressing polyQ-expanded huntingtin (htt) fragments display a surprisingly specific, immediate, and drastic defect in endoplasmic reticulum (ER)-associated degradation (ERAD). We further decipher the mechanistic basis for this defect in ERAD: the entrapment of the essential ERAD proteins Npl4, Ufd1, and p97 by polyQ-expanded htt fragments. In both yeast and mammalian neuron-like cells, overexpression of Npl4 and Ufd1 ameliorates polyQ toxicity. Our results establish that impaired ER protein homeostasis is a broad and highly conserved contributor to polyQ toxicity in yeast, in PC12 cells, and, importantly, in striatal cells expressing full-length polyQ-expanded huntingtin.

Keywords: Polyglutamine (polyQ); Huntington’s disease (HD); neurodegeneration; endoplasmic reticulum (ER)-associated protein degradation (ERAD); unfolded protein response (UPR); ER stress

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Polyglutamine [polyQ] expansions in proteins are the basis for at least nine different neurodegenerative disorders, including Huntington’s disease (HD) (Orr and Zoghbi 2007). The proteins carrying polyQ expansions are broadly expressed, but each disease is characterized by the vulnerability of a particular subset of neurons. Interactions between sequences flanking the polyQ expansion and the proteome unique to distinct neurons must determine the specific character of each disease. However, in virtually every case, toxicity ensues when the expansion reaches ~40 residues. Further, the age of disease onset decreases and the severity of disease progression increases as the length of the polyQ expansion increases. Thus, even though each disease is distinct, there must be common underlying toxic mechanisms related to polyQ-mediated misfolding.

To investigate polyQ toxicity, we used a combination of yeast, PC12, and striatal cell models. We and others have developed yeast models that express N-terminal fragments of huntingtin [htt exonI] (Krobitsch and Lindquist 2000; Muchowski et al. 2000; Meriin et al. 2002, Duennwald et al. 2006a,b). Our yeast model recapitulates major features of neuronal polyQ pathology, including the hallmark feature of increasing toxicity with increasing polyQ length [Duennwald et al. 2006b]. Thus, the yeast model presents the opportunity to identify factors that specifically determine polyQ toxicity in a genetically tractable model organism.

Numerous cellular pathways, such as transcriptional regulation (Riley and Orr 2006), vesicular transport (Gunawardena and Goldstein 2005), and protein turnover (Bence et al. 2001, Bennett et al. 2007) are impaired by polyQ expansion proteins. It remains unclear, however, which of these cellular defects are initial and specific triggers of polyQ toxicity. Here, we focused on how the well-established polyQ-induced defect in the ubiquitin proteasome system (UPS) [Bence et al. 2001; Holmberg et al. 2004; Venkatraman et al. 2004; Bennett et al. 2007] contributes to polyQ toxicity. Specifically, we asked whether the polyQ-induced defect in the UPS is global or whether it affects certain degradation pathways more than others.

We find that polyQ-expanded htt exonI strongly impairs endoplasmic reticulum (ER)-associated protein degradation (ERAD). We provide mechanistic insight into this specific defect: Toxic polyQ-expanded proteins en-
trap the ERAD proteins Ufd1, Npl4, and p97 [VCP] and thereby inhibit their essential participation in ERAD. Our results explain the molecular participation of the previously reported polyQ-induced unfolded protein response [UPR] and ER stress [Kouroukli et al. 2002; Nishitoh et al. 2002; Thomas et al. 2005] and, as noted in the Discussion, suggest that toxicity may be related to the normal function of SCA3 [Zhong and Pittman 2006]. Further, we document that the dysfunction in ER protein homeostasis occurs with high specificity and is an early response, the first we detected after the expression of polyQ-expanded htt exonI. The defect in ER protein homeostasis might therefore be an early contributor to polyQ toxicity.

Because it is unclear to what extent overexpressed polyQ-expanded htt fragments mimic HD, we also investigated ER protein homeostasis in striatal cells expressing full-length huntingtin with a polyQ expansion expressed from its endogenous locus [Trettel et al. 2000]. In this more accurate cellular model of HD, we also find an induction of the UPR and a specific and strong sensitization to ER stress. Our results therefore mechanistically explain the previously reported polyQ-induced activation of the UPR and define ER stress as a highly conserved event in polyQ toxicity that may be relevant to HD. The nature of these defects suggests relevance to other polyQ expansion diseases as well.

Results

Toxic polyQ expansion proteins impair protein degradation selectively

We first investigated whether polyQ-expanded htt exonI impaired the UPS in our yeast model, as reported in other models and in the brains of HD patients [Bence et al. 2001; Holmberg et al. 2004; Bennett et al. 2007]. Indeed, the turnover of polyubiquitinated proteins was mildly yet reproducibly reduced in yeast cells expressing 103Q htt exonI for 12 h when compared with yeast cells expressing 25Q htt exonI (Fig. 1A).

To determine if some degradation pathways are affected more than others, we compared proteins that are degraded by two distinct and well-characterized pathways: the N-end rule degradation pathway [Varshavsky 1992] and the ubiquitin fusion degradation [UFD] pathway [Johnson et al. 1995]. Both types of substrates are polyubiquitinated, and both are then degraded by the proteasome. Yet, they use different modes of substrate recognition and different factors to facilitate ubiquitination prior to degradation [Varshavsky 1992; Johnson et al. 1995].

We expressed an N-end rule substrate and a UFD substrate, each as β-galactosidase fusion proteins, in yeast cells that also expressed htt exonI protein variants, a nontoxic form [25Q], and increasingly toxic forms (46Q, 72Q, and 103Q). The UFD [Ub-P-lacZ] was strongly impaired in a polyQ length-dependent fashion (Fig. 1B). Quantification revealed that 103Q htt exonI caused an 11-fold increase in β-galactosidase stability for the UFD substrate relative to 25Q htt exonI, whereas it caused only a twofold increase in the stability of the N-end rule substrate Ub-R-lacZ (data not shown). Thus, polyQ-expanded htt exonI impairs the degradation of a UFD substrate more strongly than that of an N-end rule substrate. These results were confirmed by pulse-chase analyses. In the presence of 103Q htt exonI, the half-life of the N-end rule substrate Ub-R-lacZ was increased less than twofold expressing the ERAD reporter proteins HA-CPY*, sec61-2-HA, Deg1-Flag-Sec62, and MYC-Ole1 coexpressing either 25Q or 103Q htt exonI for 6 h. [D] Only toxic polyQ-expanded htt exonI impaired UFD and ERAD. Western blot analysis of protein lysates from yeast cells expressing either 25Q or 103Q htt exonI for 12 h or a vector control were analyzed by Western blotting using an anti-ubiquitin antibody. [E] Longer polyQ expansions caused stronger inhibition of UFD in yeast. The N-end rule reporter protein, Ub-R-lacZ, and the UFD reporter protein Ub-P-lacZ were expressed in yeast cells that expressed htt exonI fragments with 25Q, 46Q, 72Q, and 103Q for 6 h. Turnover of the N-end rule and UFD reporter proteins was visualized by an β-galactosidase overlay assay. (C) 103Q htt exonI impairs ERAD in yeast. Western blot analysis of protein lysates from yeast cells expressing full-length huntingtin with a polyQ expansion expressed from its endogenous locus (Trettel et al. 2000).
when compared with 25Q htt exonI-expressing cells. The half-life of the UFD substrate Ub-P-lacZ was significantly increased due to 103Q htt exonI [Supplemental Fig. S2; data not shown]. We also tested the degradation of several other proteasome substrates: six additional N-end rule substrates—Ub-I-lacZ, Ub-L-lacZ, Ub-N-lacZ, Ub-Q-lacZ, Ub-Y-lacZ, and Ub-F-lacZ [Varshavsky 1992]—and proteins bearing a well-defined degradation tag from the Matα2 repressor [Laney et al. 2006]. All of these were much less affected than the UFD substrate [data not shown].

The precise role of the UFD pathway is unclear but it shares many features with ERAD [Raasi and Wolf 2007]. ERAD is a central component of ER protein quality control. During ERAD, damaged proteins residing in the ER lumen or the ER membrane are transported to the cytosol where they are ubiquitinated by ERAD-specific factors and then degraded by the proteasome [Hampton 2002]. We asked whether 103Q htt exonI impaired ERAD by expressing several ERAD substrates of diverse types [HA-CPY*, sec61-2-HA, Dcg1-Flag-Sec62, and MYC-Ole1] [Braun et al. 2002, Haynes et al. 2002]. All four ERAD substrates were stabilized in cells expressing 103Q htt exonI compared with cells expressing 25Q htt exonI [Fig. 1C]. Again, these results were confirmed by pulse-chase analysis and shut-off experiments [Supplemental Fig. S2]. Thus, polyQ-expanded htt exonI significantly impaired ERAD. Notably, a defect in ERAD occurred after relatively short induction of 103Q htt exonI in yeast [6 h] and PC12 cells [8 h], whereas the degradation of polyubiquitinated proteins could only be observed after longer induction of the 103Q htt exonI [Supplemental Fig. S1]. While cells exhibited a block in ERAD after only 6 h of 103Q induction, they did not stop growing [as determined by the optical density of the culture] until 12 h, and remained fully viable for at least 24 h [M.L. Duennwald and S. Lindquist, in prep.].

We next asked if the defects in UFD and ERAD were specific to toxic forms of polyQ expansion proteins. The endogenous proline-rich region downstream from the Q expansion in htt exonI has a profound effect on toxicity: Proteins that do not contain the proline-rich region [103Q] rapidly arrest growth and eventually kill yeast cells, whereas proteins that contain the proline-rich region [103QP] have no effect on growth [Duennwald et al. 2006b]. As shown in Figure 1D, only the toxic htt exonI protein caused an impairment of UFD and ERAD.

To determine if the impairment of UFD and ERAD by toxic 103Q that we had discovered was also characteristic of mammalian neuron-like cells, we used PC12 cells carrying a nontoxic 25Q htt exonI or a toxic 103Q htt exonI under the control of an inducible promoter [Aiken et al. 2004; Apostol et al. 2006]. The cells were transfected with an N-end rule substrate [Ub-R-GFP], a UFD substrate [Ub-P-GFP] [Dantuma et al. 2000], or an ERAD substrate [HA-CD36] [Tiwari and Weissman 2001], all of which were constitutively expressed. After recovery from transfection, 25Q and 103Q htt exonI were induced and substrate degradation was measured by Western blotting. PC12 cells showed the same strong selective impairment of UFD [10-fold] and ERAD [12-fold] by 103Q htt exonI as the yeast model [Fig. 1E]. The degradation of the N-end rule substrate was affected only twofold.

Next, we examined the temporal relationship between the defect in UFD and ERAD that is induced by polyQ and polyQ toxicity. In yeast cells, we simply measured changes in growth rates in liquid media. For PC12 cells, we measured the induction of apoptosis by the activation of caspase3 and caspase7 and reductions in respiratory activity with 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide [MTT] [Aiken et al. 2004]. In both models, the impairment of UFD and ERAD occurred very early after the induction of the toxic htt proteins: For yeast, a strong ERAD defect was apparent within 6 h, while the very first effects on cell growth were detected only after 8 h [Fig. 1C,E; data not shown]. In PC12 cells, UFD and ERAD were strongly affected within 8 h, while the earliest signs of toxicity could be detected only after 12 h by caspase activation and only after 24 h by MTT assays [data not shown]. In contrast to the more immediate defect in UFD and ERAD, a general accumulation of polyubiquitinated proteins occurred only after a substantially longer induction [12 h in yeast and up to 48 h in PC12 cells] [Supplemental Fig. S1]. Therefore, the selective defect in UFD and ERAD is not simply a downstream consequence of general effects of polyQ-mediated toxicity—rather, it preceded the onset of toxicity in yeast and PC12 cells.

Toxic polyQ expansion proteins elicit the UPR but not the heat-shock response (HSR)

In other systems, a defect in ERAD induces the UPR, a specific reaction to protein folding stress in the ER [Travers et al. 2000]. This involves the induction of several genes involved in ER protein homeostasis that are under the transcriptional control of the UPR element [UPRE] [Bernales et al. 2006]. To determine if toxic polyQ expansion proteins elicit UPR induction in yeast, we employed a reporter construct, UPRE-lacZ [Patil and Walter 2001]. Indeed, the UPR reporter was induced in yeast cells in a manner that correlated with the length of the polyQ expansions [Fig. 2A]. Nontoxic htt exonI proteins [25Q, 25QP, and 103QP] did not elicit the UPR [Fig. 2B].

Is the UPR induced selectively, or do polyQ expansion proteins activate other cellular stress programs? The HSR is a highly conserved cellular program in all living organisms that is activated by the dysfunction of cytosolic protein homeostasis in response to a vast array of conditions; e.g., exposure to high temperatures, anoxia, and the presence of misfolded proteins in the cytosol [Lindquist 1986]. Despite the fact that the polyQ expansion proteins were expressed in the cytosol and accumulated there as aggregated species, neither the nontoxic nor the toxic polyQ-expanded htt exonI proteins had induced a HSR at times when the UPR was fully induced. This was true whether the response was measured by the sensitive heat-shock reporter, HSE-lacZ [lacZ under transcriptional control of the heat-shock element, HSE] [Fig. 2C; Kirk and Piper 1991] or by the induction the
heat-shock proteins Hsp104 and Hsp26, which are the most sensitive heat-shock indicators in yeast (Supplemental Fig. S6).

The selective induction of the UPR by toxic polyQ-expanded htt exonI proteins was also found in PC12 cells. Here, the UPR was monitored by Western analysis of the UPR-induced proteins (Schröder and Kaufman 2005) BiP, PDI, and CHOP (Fig. 2D). Cells expressing 103Q htt exonI exhibited elevated levels of BiP, PDI, and CHOP compared with cells expressing 25Q htt exonI. The cytosolic stress-specific Hsp70 isoform, a hallmark of the mammalian HSR, was not induced by the 103Q htt exonI (Fig. 2D). The HSR is universally triggered by the presence of misfolded proteins in the cytosol. The fact that misfolded polyQ expansion proteins do not trigger a HSR in neurons (Hay et al. 2004; Tagawa et al. 2007), neuron-like PC12 cells, or, remarkably, even in our yeast model further suggests that the peculiar properties of these misfolded proteins are universal.

**Impaired ER protein homeostasis enhances polyQ toxicity**

To further probe the interaction between toxic polyQ-expanded htt exonI proteins and perturbations in ER protein homeostasis, we employed chemicals that specifically disturb this process in both yeast and mammalian cells. For yeast we used a sensitized model in which we expressed the toxic 103Q htt exonI protein at a low level (using the MET13 promoter) causing only a very mild retardation of growth [Fig. 3A, Duennwald et al. 2006b].

Tunicamycin (TM) inhibits the glycosylation of proteins in the ER. Very low concentrations of TM (0.5 µg/mL), which have no effect on the growth of yeast cells expressing 25Q htt exonI, caused strong synthetic toxicity with low levels of 103Q htt exonI protein [Fig. 3A].

In PC12 cells we looked for sensitivity to TM by examining cells very shortly (6 h) after induction of 25Q or 103Q htt exonI. Low concentrations of TM (0.5 µg/mL) enhanced toxicity in PC12 cells that had been expressing 103Q htt exonI [Fig. 3B]. Similarly, low concentrations (0.5 µM) of thapsigargin (TG), which induced ER stress by disturbing ER-Ca<sup>2+</sup> levels, specifically enhanced 103Q htt exonI toxicity. Heat stress, as induced by growth at higher temperatures did not enhance polyQ toxicity in either the yeast model [Fig. 3A] or PC12 cells (data not shown).

**PolyQ expansions in full-length htt induce the UPR and sensitize striatal cells to ER stress**

In HD, neurons in the striatum are the most severely damaged by the polyQ-expanded htt [DiFiglia et al. 1997; Trettel et al. 2000]. Recently, cell lines have been derived from the striatum of mice that are homozygous for either wild-type [7Q] htt or 111Q expansion gene replacements. We could not directly examine defects in the degradation of UFD or ERAD substrates in these cells because they are very difficult to transfect and because endogenous substrates have not been characterized in them. We did, however, ask if abnormal polyQ expansions elicited a strong UPR in these cells. Indeed, the polyQ expansion...
ER stress enhances polyQ toxicity.

We also tested the sensitivity of striatal cells to ER stress. As reported previously, the toxicity of polyQ is most sensitively detected by reductions in ATP levels in these cells, as measured by luciferase assays [Trettel et al. 2000]. We found that striatal cells were unusually sensitive to TG. Low concentrations (0.5 µM) caused a reduction in ATP levels even in wild-type cells [7Q] (Fig. 3C). Cell expressing full-length polyQ-expanded allele [111Q] were about twofold more sensitive (Fig. 3C). Thus, striatal cells, already sensitive to ER stress, are further sensitized when expressing full-length polyQ-expanded huntingtin.

Genetic impairment of ER protein homeostasis enhances polyQ toxicity

Having demonstrated common features of protein homeostasis dysfunction in yeast and mammalian neuronal cells, we took advantage of yeast genetics to explore the genetic interactions of toxic polyQ-expanded htt exonI with other cellular proteins. We did not perform a genome-wide screen, because transformation of the polyQ strains produces problems with spontaneous suppressors. Instead, we took a candidate approach, testing several different cellular protein quality-control systems. Using a series of yeast strains with mutations in different cellular pathways, we searched for synthetic toxic phenotypes that would reveal specific genetic interactions with polyQ toxicity. Cells expressing 25Q htt exonI and the nontoxic 103QP served as reference points because they did not inhibit growth under any experimental conditions tested. To provide a specificity control for the toxicity due to polyQ expansions, we also examined cells expressing α-synuclein, a protein associated with Parkinson’s disease that is also toxic in yeast (Outeiro and Lindquist 2003). This protein was expressed from a single-copy plasmid at a level that caused mild toxicity, equivalent to that caused by 103Q expressed from the MET13 promoter.

Our scoring system for synthetic toxicity is illustrated in Figure 4A, in cells carrying a deletion of the histone deacetylase SIR2 [Δsir2], the ubiquitin-conjugating enzyme UBC5 [Δubc5], and a deletion of the ubiquitin-conjugating enzyme UBC4 [Δubc4]. SIR2 has no known role in protein homeostasis. UBC4 and UBC5 are highly homologous genes involved in the turnover of aberrant proteins, but they have distinct expression profiles. 25Q exhibited no toxicity in wild-type yeast cells and was scored as −. The low toxicity of 103Q htt in wild type was scored as +. The SIR2-deletion did not enhance this toxicity and was hence also scored +. The synthetic toxicity of 103Q in Δubc5 cells was scored as +++, and the more drastic synthetic toxicity of 103Q in Δubc4 was scored as +++.

Mutations in, or deletions of, genes involved in ERAD, UPR, ER Ca2+ homeostasis, ER-to-Golgi transport, and the UPS were tested for increased polyQ toxicity. Importantly, except for Δpmr1, none of these mutations had any effect on the growth of wild-type cells under the conditions tested. Further, they did not cause toxicity in cells expressing 25Q or the nontoxic 103QP protein [Figs. 4B, 5A; data not shown]. Cells expressing low levels of the toxic 103Q were most strongly affected by perturbations in genes involved in ERAD, ER calcium homeostasis, and UPR [Figs. 4B, 5A]. Most perturbations
in genes involved in the UPS only caused mild increase in polyQ toxicity and a deletion of a gene involved in ER-to-Golgi transport (Δsec22) did not enhance polyQ toxicity at all. Also, several deletions of cytosolic heat-shock proteins and molecular chaperones did not enhance, or only very mildly enhanced, 103Q htt exonI toxicity (e.g., HSP104, HSP26, and HSP42) [data not shown]. This same pattern of synthetic toxicity was observed in cells that expressed higher levels of the less toxic 103QP htt exonI with a shorter expansion (46Q) [data not shown]. The α-synuclein protein produced a different pattern of synthetic toxicity [Fig. 5A].

*The ERAD complex p97/Npl4/Ufd1 is entrapped by toxic polyQ expansion proteins*

Why is ER protein homeostasis specifically impaired by polyQ-expanded huntingtin exonI? Mutations in CDC48 (the yeast homolog of p97), NPL4, and UFD1 showed very strong synthetic toxicity with 103Q htt exonI [Fig. 5B]. p97 is an abundant cytosolic protein remodeling factor of the AAA+ family that, depending on its association with different cofactors, participates in many different biological processes. In complex with Npl4 and Ufd1, p97 facilitates the transport of ERAD substrates from the ER to the cytosol and their delivery to the proteasome for degradation [Meyer et al. 2002].

To determine whether polyQ-expanded htt exonI fragments directly interact with this protein complex, we expressed DsRed-tagged 25Q or 103Q htt exonI proteins in yeast strains that also expressed GFP-tagged Cdc48, GFP-tagged Npl4, or GFP-tagged Ufd1. As a specificity control, we examined GFP-tagged Shp1. Like Npl4 and Ufd1, this protein interacts with Cdc48. Yet it acts in vesicular transport in the Golgi and is not involved in ERAD (Ye et al. 2001). Aggregated 103Q htt exonI colo-

**Figure 4. Genetic modulation of polyQ toxicity.** (A) Wild-type yeast cells expressing 25Q htt exonI and wild type and cells carrying deletions of the genes SIR2, UBC5, and UBC4 expressing low levels of 103Q htt exonI were spotted in four fivefold dilutions on plates that induce htt expression. The low expression levels of 103Q htt exonI caused only mild toxicity in wild-type (WT) cells (+); the deletion of SIR2 (Δsir2) exhibited the same toxicity. The deletion of UBC5 resulted in synthetic toxicity (++), and the deletion of ubc4 (Δubc4) resulted in drastically enhanced synthetic toxicity (+++). (B) Impaired ERAD and UPR genetically interact only with toxic polyQ-expanded htt exonI. Wild-type and ire1 cells expressing either low levels of toxic103Q or high levels of the nontoxic 103QP were spotted in three fivefold dilutions on plates that induce the expression of the respective htt exonI fragments (top panel) or YPD plates as a growth and spotting control (bottom panel).

**Figure 5. Impaired ERAD and UPR enhance polyQ toxicity.** (A) Wild type (WT) and cells carrying deletions or mutations of genes involved in UFD, ERAD, UPR, ER Ca2+ homeostasis, ER-to-Golgi transport, and the UPS were transformed with a vector for low expression of either 25Q or 103Q htt exonI. All yeast cells carrying deletions or mutations in the table were grown under conditions where they do not exhibit any reduced growth phenotypes (permissive temperature). The only exception (++) is the Δpmr1 strain, which shows reduced growth under all experimental conditions tested. However, the low expression of 103Q htt exonI causes the complete growth arrest of this strain, and hence it was scored (+++). The toxicity of cells expressing the nontoxic 25Q htt exonI, 103QP htt exonI and low levels of α-synuclein (mildly toxic) were listed as controls; n.d. indicates not determined. (B) Wild-type yeast cells and yeast cells carrying temperature-sensitive alleles of the indicated genes expressing low levels of 103Q htt exonI were spotted in three fivefold dilutions.
ocalized with Cdc48-GFP (Fig. 6A) and strongly colocalized with Npl4-GFP (Fig. 6B) and Ufd1-GFP (Fig. 6C). It did not, however, colocalize with Shp1-GFP (Fig. 6D). Cdc48-GFP also colocalized with the nontoxic version of htt exonI [103QP]. In contrast, Npl4-GFP and Ufd1-GFP did not strongly colocalize with the nontoxic version of the polyQ-expanded protein, 103QP (Supplemental Fig. S8).

Figure 6. ERAD proteins Cdc48 (p97), Ufd1, and Npl4 colocalize with polyQ-expanded huntingtin exonI in yeast and PC12 cells. (A–C) 25Q and 103Q huntingtin exonI proteins tagged with DsRed were expressed for 6 h in yeast cells expressing GFP fusion proteins of CDC48 (the yeast homolog of p97) (A), Npl4 (B), and Ufd1 (C). (D) Yeast cells coexpressing a Shp1-GFP fusion and 103QDsRed served as a specificity control. 25Q and 103Q expression was induced for 8 h and then monitored by fluorescence microscopy. p97, Ufd1, and Npl4 colocalize with htt exonI 103Q-GFP in PC12. (E–H) PC12 cells induced for 12 h for the expression of 103Q htt exonI fused to GFP were fixed and immunostained with anti-p97 (E), anti-Npl4 (F), anti-Ufd1 (G), and anti-p47 (H) (the homolog of yeast Shp1 served as specificity control), respectively, followed by fluorescence microscopy. Bars, 10 µm.
To test whether these specific associations also occurred in mammalian neuronal cells, we again used PC12 cells expressing either 25Q or 103Q htt exonI. Here, too, p97, Npl4, and Ufd1 strongly colocalized with aggregated 103Q htt exonI-GFP (Fig. 6E–G). The mammalian homolog of yeast Shp1, p47, only weakly colocalized with 103Q htt exonI-GFP (Fig. 6H) in PC12 cells. Thus, the p97/Npl4/Ufd1 complex seemed to be trapped in aggregates of 103Q htt exonI in both yeast and PC12 cells. To confirm the specific association of p97, Ufd1, and Npl4, we performed coimmunoprecipitation experiments on PC12 cells [Supplemental Fig. S9]. All three proteins coimmunoprecipitated with the toxic 103Q htt exonI protein but not with the nontoxic 25Q htt exonI. Further p47, the protein that interacts with p97 yet is not involved in ERAD, did not coimmunoprecipitate with 103Q htt exonI.

The entrapment of the p97/Npl4/Ufd1 complex contributes to toxicity

p97 is an essential and very abundant protein in both yeast and mammalian cells. In contrast, the adaptor proteins Npl4 and Ufd1 are essential yet relatively scarce (Ghaemmaghami et al. 2003). Given the importance of these proteins in ERAD and the strong and immediate effects of toxic polyQ expansions on ERAD and ER stress, we speculated that the entrapment of Npl4 and Ufd1 by 103Q htt exonI contributed to its toxicity. To test this, we constitutively overexpressed Npl4 and Ufd1 and a combination of the two in yeast cells expressing the inducible toxic 103Q htt exonI. Ufd1 and Npl4 overexpression individually, or in combination, effectively suppressed polyQ toxicity [Fig. 7A]. Importantly, the overexpression of numerous other stress proteins, including ubiquitin and ubiquitin-conjugating enzymes, did not suppress polyQ toxicity [Supplemental Fig. S7; K. Matlack and S. Lindquist, unpubl.].

Similarly, Npl4 and Ufd1 expression reduced polyQ toxicity in PC12 cells [Fig. 7B]. The suppression of toxicity achieved by Npl4 and Ufd1 expression was an underestimate of their efficacy because only ~80% of the PC12 cells were successfully transfected [data not shown]. We were not able to determine the effect of combined overexpression of Ufd1 and Npl4 in PC12 cells because of very low cotransfection efficiencies. Importantly, the overexpression of Npl4 and Ufd1 did not reduce the expression of the polyQ proteins in yeast or in PC12 cells, nor did it change the morphology of aggregates [data not shown]. The effects of Ufd1 and Npl4 were specific to polyQ-mediated toxicity, because α-synuclein-induced toxicity was not antagonized by the same proteins [data not shown].

Next, we asked whether the toxicity of polyQ could also be ameliorated by an enhanced UPR. Unlike the more complexly regulated mammalian UPR, the simple signaling cascade of the yeast UPR can be genetically manipulated so that it becomes fully constitutively active using a mutant version of the central UPR transcription factor Hac1 (Papa et al. 2003). When the toxic 103Q htt exonI protein was expressed in yeast cells with a constitutively active UPR the morphology of 103Q htt exonI aggregates remained unaltered [data not shown]. However, the constitutively active UPR greatly reduced the toxicity of 103Q htt exonI [Fig. 7C].

Discussion

We investigated how polyQ-expanded huntingtin affects protein turnover, a pillar of protein quality control,
which is one of the first lines of defense against protein misfolding and its toxic consequences. It is also a system that is severely and broadly challenged by misfolded proteins [Gidalevitz et al. 2006], initiating a vicious cycle of increasing toxicity in protein-misfolding disorders. Thus, the study of protein quality control in neurodegenerative diseases caused by protein misfolding holds great promise to decipher the molecular mechanisms underlying these maladies and also to inform effective therapeutic approaches. Using a combination of yeast and neuronal models we find that polyQ-expanded htt specifically and strongly impairs the turnover of ER proteins (ERAD).

The impairment of ERAD and the ensuing accumulation of ERAD substrates induces stress in the ER, elicits the UPR, and sensitizes cells to other forms of ER stress. Notably, several other studies have documented polyQ-induced UPR and ER stress in the context of different polyQ expansion proteins [Kouroku et al. 2002; Nishitoh et al. 2002; Thomas et al. 2005]. The ER, however, remained an unexplained nexus for polyQ toxicity because the misfolding of polyQ-expanded proteins occurs in the cytosol or the nucleus but not in the ER. Our findings elucidate this baffling connection between ER stress and polyQ toxicity: The entrapment of the ERAD complex p97/Npl4/Ufd1 connects polyQ-mediated protein misfolding in the cytosol with toxic defects in protein homeostasis in the ER. Importantly, the fact that overexpression of Npl4 and Ufd1 ameliorate toxicity establishes that this entrapment contributes to the toxicity of the polyQ-expanded protein. Thus, our study provides a molecular mechanism underpinning the cross-talk between different cellular compartments in response to misfolded polyQ proteins. We suggest that this might be fruitful to investigate cellular compartments or pathways that—at least at the first glimpse—have no physical contact with the misfolded protein in the analyses of other types of protein misfolding toxicities. We also found that striatal cells, at least in tissue culture, are especially sensitive to ER stress. If this is true in vivo, it may contribute to the selective vulnerability of this cell type in HD.

The defect in protein homeostasis is highly selective for ERAD and was the earliest cellular response to the expression of toxic polyQ-expanded htt that we detected, earlier than defects in degradation of polyubiquitinated proteins and induction of a HSR. Our genetic data further identify defects in ERAD and ER stress as central events in polyQ toxicity. Moreover, we show that polyQ-induced defects in ER homeostasis are conserved between cells of organisms as diverse as yeast and striatal neurons and occur not only in response to the expression of polyQ-expanded htt fragments but also in response to the expression of full-length polyQ-expanded htt in striatal cells. Importantly, neurons in the striatum are most severely affected in HD. Our results therefore add ER protein homeostasis to other cellular pathways whose dysfunction contributes to htt polyQ toxicity.

How might the polyQ-expanded protein lead to the entrapment of an ERAD protein complex? Recently, polyQ-expanded SCA3 [ataxin3], the protein that causes Machado-Joseph disease, was shown to cause a defect in ERAD [Wang et al. 2006; Zhong and Pittman 2006]. In this case, there is a logical connection because the normal function of SCA3 is to regulate the pace of ERAD. Through a beautiful combination of in vitro and in vivo investigations [Boeddrich et al. 2006; Zhong and Pittman 2006], it was determined that SCA3 binds p97 and inhibits its interaction with Npl4 and Ufd1, interfering with the degradation of ERAD substrates by the proteasome. PolyQ-expanded SCA3 competes with Npl4 and Ufd1 in binding to p97 and reduces the pool of the p97/Ufd1/Npl4 complex that functions in ERAD [Zhong and Pittman 2006]. In contrast, there is no evidence that huntingtin or huntingtin fragments participate in ERAD as part of their normal physiological function. Yet we observe that polyQ-expanded htt exon1 selectively binds and inhibits the same complex and, moreover, that this is one of the early events in toxicity. This must be more than coincidence.

It has been determined that an arginine/lysine-rich motif in the SCA3 protein that is N-terminal to the polyQ region interacts with p97 and is essential for its normal activity during ERAD [Boeddrich et al. 2006; Zhong and Pittman 2006]. It has been speculated that the polyQ expansion of this protein causes a conformational change that presents this motif to p97 more effectively, allowing the polyQ-expanded protein to outcompete the wild-type protein and inhibit ERAD. An alternative explanation, suggested by our findings, is that the short polyQ region in the wild-type protein directly contributes to the interaction with Npl4 and Ufd1 with p97 and that the polyQ-expanded version of SCA3 stabilizes it even further. The toxicity of the htt protein in our experiments is relieved by overexpression of Npl4 and Ufd1. The polyQ expansion in htt might lead to entrapment of Sca3. If so, it might represent a common [albeit not exclusive] toxic mechanism for toxic polyQ expansion proteins that causes ER stress.

Surprisingly, the HSR, the most general defense against protein misfolding in the cytosol, is not activated early by polyQ-expanded htt exon1 or full-length htt in our models. The same finding has been reported in neurons affected in polyQ toxicity [Hay et al. 2004; Tagawa et al. 2007]. Yet the expression of heat-shock proteins has been shown to provide effective protection against polyQ toxicity [Warrick et al. 1999; Carmichael et al. 2000; Muchowski et al. 2000; Muchowski and Wacker 2005; Vacher et al. 2005]. The failure to induce the most important response to defects in cytosolic protein homeostasis might be another contributor to the toxicity of polyQ expansion proteins. We do not know why the misfolding of polyQ-expanded htt fails to promptly activate the HSR. Perhaps cells do not recognize polyQ-expanded htt as a misfolded species because of intrinsic structural features of polyQ expansion proteins. In addition, polyQ can entrap certain transcription factors [Schaffar et al. 2004; Riley and Orr 2006], and might differentially affect particular transcriptional re-
responses, such as the HRR. We and others have found recently that the neurons most severely affected by polyQ expansions have the most reduced levels of heat-shock proteins [Hay et al. 2004; Tagawa et al. 2007; M.L. Duennwald and S. Lindquist, in prep.]. Methods for activating both the UPR and the HSR might therefore provide a novel and effective combinatorial therapeutic strategy to combat polyQ expansion disorders.

Materials and methods

Antibodies

The following antibodies were used in this study: anti-p97 and anti-Ufd1 from BD Biosciences; anti-Npl4 and anti-p47 antibodies were a kind gift of Hemmo Meier (ETH, Zurich, Switzerland); anti-BiP, anti-Hsp70, and anti-PDI from Stressgen; anti-CHOP from Affinity BioReagents; anti-β-galactosidase antibody from Cappel (MP Biomedicals), anti-ubiquitin antibody from BabCo, Covance. Secondary antibodies (anti-rabbit and anti-mouse antibodies coupled to horseradish peroxidase) and anti-GFP antibody were purchased from Sigma-Aldrich.

Chemicals

TG and TM were purchased from Sigma-Aldrich.

General yeast methods

Yeast strains expressing the huntingtin exon1 GFP/CFP fusion proteins were described in our previous work [Duennwald et al. 2006b]. Yeast media were prepared as described previously [Duennwald et al. 2006b]. If not stated otherwise, yeast cells were grown in liquid cultures with medium agitation or on plates at 30°C. Transformation of yeast with plasmid DNA was performed by the lithium acetate method [Ito et al. 1983]. Proteins extraction from yeast was performed by the glass bead method.

Yeast growth assays

For growth assays, yeast cells were grown overnight in selective media with 2% glucose as a sole carbon source. After the OD600 was determined, cultures were diluted to equal concentrations and then spotted in three or four fivefold dilutions with the most concentrated spot containing 100,000 cells by using a spotter [Frogger, V&P Scientific]. Equal spotting was controlled by simultaneously spotting the cells on YPD [yeast/extract/peptone/dextrose] plates.

Yeast β-galactosidase activity assays

Visualization of β-galactosidase activity was achieved by an overlay assay. Yeast cells were grown overnight in medium containing 2% raffinose as sole carbon source. The cells were then diluted to an OD600 of 0.2 in medium containing 2% galactose as carbon source and then grown for 6 h at 30°C. Cultures were diluted to equal concentrations and spotted onto plates containing glucose as sole carbon source with one spot containing ~10^7 cells. Once the spots dried a mixture of a warm (55°C) agarose mix (6% [v/v] DMF, 0.1% [v/v] SDS, 0.1 mg/mL X-Gal, 0.5% [w/v] agarose) were poured on top of the plates. After the agarose mix solidified, the plates were incubated for 8 h at 30°C before pictures where taken. For quantitative β-galactosidase assays cells were grown exactly as described for the overlay assay. β-Galactosidase activity was then determined by permeabilizing yeast cells in a mild lysis buffer and then measuring the luminescence with the Galacto-Light kit [Tropix, Applied Biosciences].

Tissue culture methods

PC12-expressing huntingtin exon1 GFP fusions were a kind gift from Eric Schweitzer [University of California at Los Angeles, Los Angeles, CA]. Cells were grown and differentiated, and huntingtin exon1 GFP expression was induced as described previously [Aitken et al. 2004]. Transfection of PC12 cells was carried out using the Nucleofector kit from Amaza, using buffer V and following the manufacturer’s protocol precisely with the exception that 10% more cells were used per transfection. The plasmids for the expression of Npl4, Ufd1, and p97 under control of the CMV promoter were purchased from Origene.

Striatal cells expressing full-length huntingtin with either seven or 111 glutamines under the control of the endogenous huntingtin promoter were a kind gift from Marcy MacDonald [MGH, Boston, MA]. Striatal cells were grown as described previously [Trettel et al. 2000]. For all assays presented in this study, cells were grown at 39°C to block cell division.

Protein extraction from PC12 cells and striatial cells

Cells were washed with PBS and then harvested by scraping them off in lysis buffer [150 mM NaCl, 1% NP-40, 10 mM EDTA] containing complete protease inhibitor cocktail [Roche]. Cells were then passed through a small syringe 10 times. After a mild clarifying spin [500g for 5 min], the lysates were mixed with equal volumes of 2× SDS sample buffer [100 mM Tris at pH 6.8, 4% [v/v] β-mercaptoethanol, 4% [w/v] SDS, 20% [v/v] glycerol, 0.1% [w/v] bromphenol blue] and boiled for 5 min. In parallel, aliquots of the protein lysates were used to perform BCA protein concentration assays [see below] to guarantee equal loading on SDS-PAGE.

Viability assays

To assay the viability of cells either MTT assays (ATCC) or luciferase assays [CellTiter-Glo Luminescence Cell Viability Assay, Promega] and caspase activity assays [Caspase-Glo 3/7 Assay, Promega] were performed in 96-well plates using 2000 cells per well. Data from viability assays represent at least three independent experiments carried out in triplicate for each experimental condition. The error bars represent standard deviations.

Western blot

For SDS-PAGE, protein concentrations of different samples of one experiment were equalized according to BCA assays [Pierce]. Proteins were transferred to PVDF membranes by semi-dry blotting. As a control for equal loading membranes were stained with Ponceau-S after blotting [see Supplemental Figs. S3–S5].

Microscopy

Microscopic experiments were carried out with a Zeiss Axioplan II microscope operating with Openlab software. Pictures were taken using Metamorph. Pictures of yeast cells were taken using a 40× objective. PC12 cells were taken using a 100× objective. PC12 cells were fixed as described previously for striatal cells [Trettel et al. 2000]. Pictures of PC12 cells were taken using a 40× objective. Pictures were processed with Adobe Photoshop.
Duenwald and Lindquist

Acknowledgments

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References


Muchowski, P.J. and Wacker, J.L. 2005. Modulation of neuro-
Duennwald_Supplementary Fig.S1

---

**a)**

Striatal cells

25Q 103Q 111Q

250kD

75kD

**b)**

Induction of 103Q (hrs):

0 4 6 8 12

kDa

--- 250

--- 160

--- 110

--- 80

**c)**

Induction of 103Q (hrs):

0 8 12 24

kDa

--- 250

--- 160

--- 110

**d)**

Striatal cells

7Q 111Q

kDa

--- 250

--- 160

--- 110
Legends for Supplementary Figures

**Figure S1.** (a) Ponceau-S staining corresponding to the Western blot shown in Figure 1. (b) Degradation of poly-ubiquitinated proteins is not impaired by polyQ-expanded huntingtin exonI after short induction times. Proteins lysates from yeast cells that had been induced for the expression of 103Q htt exonI for the indicated times were analyzed by Western blot using an anti-ubiquitin antibody (see Figure 1). (c) Protein lysates from PC12 cells that had been induced for the expression of 103Q htt exonI for the indicated times were analyzed by Western blot using an anti-ubiquitin antibody. Note that after six hours of induction of htt exonI 103Q in yeast cells and after eight hours of induction in PC12 cells there is a pronounced defect in ERAD (Figure 1c and e respectively) while there is no pronounced defect in the degradation of poly-ubiquitinated proteins. (d) Degradation of poly-ubiquitinated proteins is not impaired in striatal cells expressing full-length polyQ-expanded htt. Protein lysates from striatal cells expressing either wild-type (7Q) polyQ-expanded full-length htt (111Q) were analyzed by Western blot using an anti-ubiquitin antibody. Note that striatal cells expressing full-length htt show signs of ER stress (Figure 2e).

**Figure S2.** Pulse-chase analysis of cells expressing either 25Q or 103Q together with (a) the N-end-rule substrate Ub-R-lacZ, (b) the UFD substrate Ub-P-lacZ, or (c) the ERAD substrate HA-CPY*. The amount of Ub-P-lacZ and HA-CPY* is already higher at time 0 when 103Q is co-expressed. Even during the short labeling period ("pulse") before the "chase" Ub-P-lacZ and HA-CPY* were probably already being degraded when co-expressed with 25Q, however this degradation was blocked in the presence of 103Q. Nevertheless, the pulse-chase experiment documents a retarded degradation of Ub-P-lacZ and HA-CPY* even when the higher amount at time 0 is factored in. In the lower panel of (c), three independent pulse-chase experiments similar to the one shown in the upper panel were quantified using the Imagequant software, and data are shown in logarithmic scale. The HA-CPY* signal at time point zero was set at 100. (d) Shut-off experiment with yeast cells co-expressing either 25Q or 103Q with sec61-2-HA for six hours before shut off followed by the indicated chase period.
**Figure S3.** (a) Western blots of protein lysates from yeast cells expressing 25Q or 103Q together with Ub-M-lacZ, Ub-R-lacZ, or Ub-P-lacZ. (b) Ponceau-S stained blots corresponding to the Western blots shown in Figure 1c. (c) Ponceau-S stained blots corresponding to the blots shown in Figure 1d.

**Figure S4.** Ponceau-S stained blots of the Western blots in Figure 2 are shown to document equal protein loading. (a) Ponceau-S stained blots corresponding to the Western-blot shown in Figure 2a. (b) Ponceau-S-stained blot corresponding to the Western blots shown in Figure 2b. (c) Ponceau-S stained blot corresponding to the Western-blot shown in Figure 2c. (d) Ponceau-S stained blots corresponding to the Western-blot shown in Figure 2d.

**Figure S5.** Ponceau-S stained blots corresponding to the Western blots shown in Figure 2e.

**Figure S6.** 103Q expression does not induce the heat-shock response in yeast. Protein lysates of yeast cells induced for the expression of 25Q or 103Q for six hours were used for Western blots with anti-Hsp104 and Hsp26 antibodies. HS, protein lysates from yeast cells that were heat shocked for 30 min. at 42°C. For loading control refer to Figure S4c.

**Figure S7.** Not all stress proteins suppress polyQ toxicity. Spotting assays of yeast cells expressing 103Q htt exonI (left panel) overexpressing Ubi4 (ubiquitin), Kar2 (the yeast version of BiP), Ubc4, or Ubc6 (both ubiquitin-conjugating enzymes, E2s).

**Figure S8.** Npl4-GFP and Ufd1-GFP do not strongly co-localize with the non-toxic 103QP-DsRed. Cells expressing Cdc48-GFP (a), Npl4-GFP (b), and Ufd1-GFP (c) were induced for the expression of 103QP-DsRed for six hours and then monitored by fluorescence microscopy. Scale bar 10µM.
**Figure S9.** p97, Ufd1 and Npl4, but not p47, associate with 103Q in PC12 cells. Co-immunoprecipitation of protein lysates from cells that were induced for the expression of 25Q or 103Q htt exon1 GFP for eight hours were analyzed. The lysates were precipitated with an anti-GFP antibody and the immuno-detection was carried out with the indicated antibodies. The left side of the panel shows the Western blot of total protein lysates (total). The right side of the panel shows the co-immuno precipitations (IP).

**Supplementary Methods**

**Pulse-chase analysis**

Pulse chase analysis was carried out as described before (Johnsson and Varshavsky 1994). In brief, 25Q and 103Q expression were induced for 6 hours. Cells were then incubated in medium without methionine and cysteine for 20 minutes and then in medium containing rediaolabeled methionine and cysteine for 5 minutes, washed and in buffer containing cycloheximide to repress further protein synthesis. Cells were then lysed and immunoprecipitation was performed.

**Shut-off experiments**

Shut-off experiments were carried out as described previously (Braun et al. 2002). In brief, cells were induced for the co-expression of sec61-2-HA and either 25Q or 103Q for six hours by growth in media containing galactose. Then glucose and cycloheximide were added to the media and protein extracts of cells were prepared after the indicated time points. The protein extracts were analyzed by SDS-PAGE and immunoblotting using an anti-HA antibody.

**Ponceau-S staining of protein blots**

After semi-dry transfer, PVDF membranes were incubated in Ponceau-S solution (40% methanol (v/v), 15% (v/v) acetic acid, 0.25% (w/v) Ponceau-S) for 2- 10 minutes, destained with destainer solution (15% (v/v) methanol, 10% (v/v) acetic acid) and then pictures were taken with a digital camera. Before immunostaining, the blots were thoroughly washed in water.

**Co-immunoprecipitation**

PC12 were induced for the expression of either 25Q or 103Q for 8 hrs. The cells were lysed in 200μl mild lysis buffer 150mM NaCl, 2mM EDTA, 1% NP40, 1 tablet of
complete protease inhibitor cocktail, Roche, Nutley, NJ) and kept on ice. After a mild centrifugation (500Xg for 5 min) 50µl aliquots of the protein lysates were withdrawn for total protein lysates. 500µl lysis buffer was added to the remaining protein lysates and the primary antibodies (anti-GFP) were added. After a 12h incubation (rotating) at 4ºC agarose beads coupled to an anti-IgG antibody (30µl, Roche, Nutley, NJ) were added, followed by a 1h incubation at RT (also rotating). The beads were spun down (5000Xg, 1 min) and washed 5 times with lysis buffer. The beads were then boiled in an equal volume of loading buffer (2% SDS) for 5 min and loaded on an SDS-PAGE gel, followed by Western blot analysis with the indicated antibodies.

References for Supplementary data

Duennwald_Supplementary Fig.S2

a)  
\[
\begin{array}{c|c|c}
25Q & 103Q \\
0 & 15 & 30 & 60 & 0 & 15 & 30 & 60
\end{array}
\]

Ub-R-lacZ

Ub-P-lacZ

chase [min]:

0 15 30 60

0 15 30 60

b)  
\[
\begin{array}{c|c|c}
25Q & 103Q \\
0 & 15 & 30 & 60 & 0 & 15 & 30 & 60
\end{array}
\]

Ub-P-lacZ

Ub-R-lacZ

chase [min]:

0 15 30 60

0 15 30 60

c)  
\[
\begin{array}{c|c|c}
25Q & 103Q \\
0 & 5 & 15 & 30 & 0 & 5 & 15 & 30
\end{array}
\]

HA-CPY*

chase [min]:

0 5 15 30

0 5 15 30

d)  
\[
\begin{array}{c|c|c}
25Q & 103Q \\
0 & 15 & 30 & 60 & 0 & 15 & 30 & 60
\end{array}
\]

sec61-2-HA

shut-off time (min):

0 15 30 60

0 15 30 60

signal (log)

time (min)
a) 25Q 46Q 72Q 103Q vector TM

b) 25QP 103QP 25Q 103Q HS

c) 25QP 103QP 25Q 103Q HS

d) BiP PC12 PDI CHOP

UPRE-lacZ

non-toxic toxic

PC12 HSP70

25Q103Q HS
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   Cdc48-GFP
   combined

b) 103QPDsRed
   Npl4-GFP
   combined

c) 103QPDsRed
   Ufd1-GFP
   combined
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